

VIGILANCE ACTIVITIES AND ACHIEVEMENTS

15.1. VIGILANCE SET-UP

15.1.1 The Ministry of Coal exercises superintendence and control over the vigilance administration of 10 Public Sector Undertakings and one Autonomous Body under its jurisdiction as well as over the Ministerial staff. The vigilance set up of the Ministry is headed by a Joint Secretary-cum-Chief Vigilance Officer on part-time basis. He is assisted by a Director, an Under Secretary and a Section Officer. The Vigilance Wings of Coal India Limited, its subsidiaries, Neyveli Lignite Corporation and the Coal Mines Provident Fund Organization are headed by full time Chief Vigilance Officers. There are about 300 executives /non-executives in the vigilance organization of Coal India Limited /its subsidiaries, Neyveli Lignite Corporation and Coal Mines Provident Fund Organization.

15.1.2 Being the watch-dog of coal and lignite Public Sector Undertakings and the Coal Mines Provident Fund Organization, the Ministry pays special attention to streamlining the procedures and practices prevailing in these organizations, while monitoring the functioning of the Vigilance Departments.

15.1.3 Coal India Ltd is the holding company of 8 subsidiary companies spread over the States of West Bengal, Jharkhand, Orissa, Madhya Pradesh, Uttar Pradesh, Chattisgarh and Maharashtra. The Vigilance Department of Coal India Limited coordinates the activities of the Vigilance Wings of its subsidiaries and also liaisons with the Ministry of Coal, Central Vigilance Commission and Central Bureau of Investigation.

15.2 VIGILANCE AWARENESS WEEK

A Vigilance Awareness Week was observed from 31st October 2011 to 5th November, 2011 in the Ministry of Coal, Coal India Limited, its subsidiary companies, Neyveli Lignite Corporation and Coal Mines Provident Fund Organization. As notified by CVC the main focus of observing Vigilance Awareness period was generation of awareness and publicity against corruption. As a part of observance, a pledge was administered to all public servants. Banners/ posters were displayed. The coal companies organized interactive sessions and various other programmes on the issues of procurement, handling of grievances, material management, improvement of systems /procedures and transparency etc. during the Vigilance Awareness Period.

15.3. PREVENTIVE VIGILANCE

15.3.1 As part of observation of Vigilance Awareness Week – 2011, system improvement suggestions were invited from all the employees and the suggestions received were analysed and examined for considering their implementation by CIL & Subsidiary Companies.

In pursuant to such final analysis and examination the following suggestions were accepted for implementation:

- (i) Formation of standing tender committee for finalization of tenders
- (ii) System Improvement relating to Civil engineering works in line with circulars issued by MCL
- (iii) Relating to foreign training of executives

- (iv) Relating to verification of bonafide end use of coal by actual consumers
- (v) Relating to e-procurement
- (vi) Installation of GPS based transport tracking system (in hired vehicles as well as vehicles owned by CIL for tracking their movement and accessing other relevant data).

The concerned HODs have been advised for taking further necessary action for implementation of the above system.

15.3.2 An Interactive Session was held by the Secretary (Coal) with CMDs and CVOs of Coal India Ltd. and its subsidiaries, NLC and CMPFO on 27.2.2012 to review the functioning of vigilance set up and steps taken to improve their performance.

As part of Vigilance Awareness Week, the following preventive vigilance activities were undertaken:

15.3.3 NCL - slogan writing competition on honesty and corruption were organized.

15.3.4 CCL - Seminars/workshops were organized on “Values and Ethics affecting our lives and work”; Seminar on “e-procurement/tendering”; and Workshop on “Common Irregularities in Civil & Purchase contracts.

15.3.5 MCL - CMD, MCL released the “Vigilance Bulletin-2011”. A Seminar on integrity pact with bidders was also held.

15.3.6 BCCL - A Slogan writing and drawing competition on the theme ‘Anti corruption’ was organized in which students of 8 different schools of Dhanbad participated. Essay competitions on the topic “Corruption harms both the corrupt and the honest” for the executives of BCCL and “Behind every corrupt man there is corrupt family” for the non-executives were also organized.

15.3.7 SECL - Vigilance Training Programmes were conducted in which senior executives participated and experienced faculty from CBI, Police, Indian Railways and IICM participated and addressed on various corruption related matters. Workshop on vigilance awareness was organized by Vigilance Deptt., SECL on 18.5.2011 in which 151 senior level officers participated.

15.3.8 WCL - Four Compendiums as detailed below were compiled and released:

- (i) Vigilance Circulars issued by CVC from the year 1998 to Oct., 2011.
- (ii) Circulars issued till date on the initiative of Vigilance Deptt., WCL.
- (iii) Consolidated documentation regarding CTEO related activities.
- (iv) Consolidated documentation regarding technical error prone activities.

15.3.9 CMPDIL - Essay writing competition and a written quiz competition were organized.

15.3.10 ECL - Four seminars for the officers of ECL and 3 competitions namely i) slogan writing competition, ii) sit and draw competition and iii) debate competition on Vigilance awareness, corruption ethics and values for school children were organized .

15.3.11 NLC - In order to create awareness about rules, regulations and procedures among the employees, elocution and essay writing competitions at unit level were conducted in English for executives and in Tamil for non-executives and labours. A short film in English with theme on “Combating Corruption” was screened at public function presided over by the CVO, NLC

15.4. SURVEILLANCE AND DETECTION

- 15.4.1** All Public Sector Undertakings under the administrative control of Ministry of Coal have identified and prepared the Agreed List of suspected officers for the year 2011-12 in consultation with the Central Bureau of Investigation.
- 15.4.2** In CCL, 13 cases were taken up for investigation and 12 cases were completed. Further, 19 surprise checks were carried out.
- 15.4.3** In ECL, 2 cases were taken up for investigation and both were completed. Further, 11 surprise checks were carried out.
- 15.4.4** In NCL, 79 cases were taken up for investigation and 78 cases were completed. Further, 9 surprise checks were carried out.
- 15.4.5** In MCL, 19 cases were taken up for investigation during the year. During the period investigation in 15 cases was completed and 20 surprise checks were also carried out.
- 15.4.6** In SECL, 25 cases were taken up for investigation and 11 cases were completed. 33 surprise checks were carried out during the year.
- 15.4.7** In CMPDIL one case was taken up for investigation and completed. One CTE type inspection was conducted and 8 surprise checks were conducted in the various Regional Institutes.
- 15.4.8** In WCL, 278 cases were taken up for investigation, out of which 151 cases were completed. Further, 29 surprise checks were conducted.
- 15.4.9** In BCCL, 20 cases were taken up for investigation, out of which 18 cases were completed. Further, 09 regular cases were registered by the CBI in BCCL during 2011-12. As many as 352 employees were transferred from the sensitive posts and

10 surprise checks were also conducted.

- 15.4.10** In NLC, 275 cases were taken up for investigation, out of which 236 cases were completed. Further, 150 surprise checks were carried out, out of which 137 have resulted in vigilance cases.

15.5 PUNITIVE ACTION

The data regarding position of cases taken up for investigation, departmental inquiry, cases in which penalty was imposed, number of officials placed under suspension, number of regular cases registered by the Central Bureau of Investigation in the coal companies and the Coal Mines Provident Fund Organization (CMPFO) is given below:

Total cases taken up for investigation: 853

Total cases of Departmental Inquiry : 101

Total no. of Regular cases registered by CBI : 29

Total no of officials placed under Suspension : 11

Total no of cases in which major penalty was imposed : 59

Total no of cases in which minor penalty was imposed : 54

15.6 IMPOERTANT ACHIEVEMENTS

- 15.6.1** Monetary gain to Company due to vigilance activities in 2011
- (i) In ECL coal sale through e-auction route during 2011 was 3061.22 thousand tones compared to 798.42 thousand tones during the corresponding period last year and gain over notified price is ₹40,086.71 lakhs compared to ₹8,054.24 lakhs during the corresponding year.
 - (ii) The system improvement measures have resulted in improved steam coal loading during the period January, 2011 to December, 2011

has resulted in net improvement of 10.88 lakh tonnes and additional gain to ₹.19.58 crere.

15.6.2 On-line Registration of Complains :

For the first time in MCL dynamic web-site portal for different applications i.e.online registration of complaint and online application for recruitment have started and online submission of EMDs in MCL, Hqrs. has been developed.

15.6.3 E- Tendering with reverse bidding :

In compliance to the instruction of CVC regarding leveraging of Technology to curb corruption, e-tendering with reverse bidding has been introduced in CCL. Till date around 99 numbers of NIT has been floated/ invited through e-tendering through reverse bidding systems relating to transportation work.

15.6.4 E-Procurement : In compliance to the instructions of CVC regarding leveraging of Technology to curb corruption, e-procurement has been introduced in CCL w.e.f April'2010. Eighty five cases of procurement have been taken up by e-procurement mode upto Dec.'11, using the portal of Service Provider for

e-procurement in order to make award of procurement/ service contracts more transparent.

E-Payment : After rigorous follow-up and interaction of CCL Vigilance, e-payment has been introduced and fully implemented at CCL, HQ & Areas of CCL. Approximately 96.99% payment has been made through e-payment mode in the CCL, HQ as well as its Areas.

IT usage and e-governance : Despite being located in remote area NCL has introduced e-payment in a big way. NCL's website has been up-dated to provide for.

- (i) A link called CVO's corner;
- (ii) E-publication of "Vigilance Perspective";
- (iii) Information related to Sales & Marketing and Quality Control;
- (iv) Grievance Redressal system cell (GRSC);
- (v) Notices for the customers;
- (vi) List of suspended units.